

LJMU Action Plan (Concordat Implementation Strategy) 2018-2020

Backwards Looking Plan: January 2021

CONCORDAT PRINCIPLE(S)	PROPOSED ACTION	LEAD/s	TIMESCA	LE	SUCCESS MEASURE/S	PROGRESS					
A. Recru	itment & Selection										
Principle 1	Principle 1: Recognition of the importance of recruiting, selecting and retaining researchers with the highest potential to achieve excellence in research										
1.	The current People and Organisatio	nal Develop	ment (P8	kOD	; see glossary on page 5)	Strategy (2017-22) asserts a core aim to ensure processes for recruitment,					
	induction and the on-going develop	ment of sta	ff reflect t	he	highest possible standards						
	1) Complete a full-cycle of	P&OD	Ву С	ct	This ongoing activity	Data for the time period 1/9/2018 to 1/10/2020 shows that 108 academic					
	monitoring of the effectiveness		2019		will establish baseline	only staff in permanent roles completed an induction out of 209 starters					
	of the three-year probation,				measures whereupon	(52%). In the same period, 16% of probation reviews have been completed					
	induction and transition				measures of success	on time and over 99% of new starters have passed their probation period.					
	system for academics who are				will be defined. These	The induction process for contract researchers has not been consistently					
	new to higher education that				are likely to include:	reported.					
	was introduced in September				staff engagement in the						
	2016, and evaluate participant				full 3-year process;	Our revised Concordat Action Plan includes induction actions that are					
	satisfaction. Since				numbers completing	specific to new staff on externally-funded research contracts. This includes					
	implementation, 130 academic				probation review on-	an induction from the head of their department that will include reference					
	members of staff have				time; % completing	to the Researcher Development Concordat and the LJMU Code of Practice					
	commenced this process out of				probation review;	for Research. This action was not specifically identified in the previous					
	208 academic starters (it is				positive responses on	action plan but has been a part of LJMU practice previously. We will ensure					
	only a requirement to				the effectiveness of the	that information about induction process and probation reviews are					
	undertake the induction				new programme and	routinely collected in each Faculty/School and put processes in place to					
	process if this is your first job				participant satisfaction.	report this to HR, so that it can be collected and reported on collectively.					
	in academia). Over the last two										
	years 54% of probation reviews					Relevant to our induction processes, our revised Concordat Action Plan also					
	have been completed on time					aligns with wider LJMU strategic initiatives relevant to this principle,					
	and over 99% of new starters					including working towards our Silver Athena Swan award that involves the					

hires have pa	ssed their					development, implementation and monitoring of open, transparent and
probations po						merit-based recruitment processes (e.g. as part of our actions to address
'	e monitoring and					obligation ECI2).
evaluation re	•					
induction of						
academic sta	·					
	ction process for					
newly appoir						
researchers t						
implemented	l at a local					
(faculty/scho						
(13.53.12,7,53.12	.,					
B. Recognition & Value						
Principle 2: Researchers are	recognised and value	d by their	employ	ing o	rganisation as an essential	part of their organisation's human resources and a key component of their
overall strategy to develop a			. ,	Ü		
1 - 1						
2. The current P&O	D Strategy (2017-22)	asserts a	core air	n for	the University to have a p	positive performance culture driven by open, well-defined and consistently
						positive performance culture driven by open, well-defined and consistently raisal scheme is to be implemented during this Action Plan period.
applied policies f	for recognition, rewar		forman			
applied policies f 2) To monitor a	for recognition, rewar	rd and per	forman	ce ma	nagement. A revised app	raisal scheme is to be implemented during this Action Plan period.
applied policies for a policies for a policies for a the implementation and the implementation applies for a policies for a po	for recognition, rewar	rd and per	forman By	ce ma	nnagement. A revised app The proportion of staff	raisal scheme is to be implemented during this Action Plan period. The proportion of staff with significant responsibility for research (SRIR)
applied policies for a contract the implementation and the implement	for recognition, rewar nd evaluate both ntation process f the University-	rd and per	forman By	ce ma	The proportion of staff with significant	raisal scheme is to be implemented during this Action Plan period. The proportion of staff with significant responsibility for research (SRIR) stood at 58% (including both academic and research-only staff) at
applied policies f 2) To monitor a the implement and impact o	for recognition, rewar nd evaluate both ntation process f the University- of the new	rd and per	forman By	ce ma	The proportion of staff with significant responsibility for	raisal scheme is to be implemented during this Action Plan period. The proportion of staff with significant responsibility for research (SRIR) stood at 58% (including both academic and research-only staff) at September 2020. A separate equality impact assessment of staff on
applied policies for a policies for a the implement of and impact of wide roll-out workload allows.	for recognition, rewar nd evaluate both ntation process f the University- of the new	rd and per	forman By	ce ma	The proportion of staff with significant responsibility for undertaking research to	raisal scheme is to be implemented during this Action Plan period. The proportion of staff with significant responsibility for research (SRIR) stood at 58% (including both academic and research-only staff) at September 2020. A separate equality impact assessment of staff on research-only contracts is being developed and will be reviewed as part of
applied policies for a policies for a the implement and impact of wide roll-out workload allow (WAM), specific for applications of the policies for application of the policies for applicatio	for recognition, rewar nd evaluate both ntation process f the University- of the new ocation model	rd and per	forman By	ce ma	The proportion of staff with significant responsibility for undertaking research to increase to 60% (from	raisal scheme is to be implemented during this Action Plan period. The proportion of staff with significant responsibility for research (SRIR) stood at 58% (including both academic and research-only staff) at September 2020. A separate equality impact assessment of staff on research-only contracts is being developed and will be reviewed as part of
applied policies for a the implement and impact of wide roll-out workload allow (WAM), spectother to the process.	for recognition, reward nd evaluate both for the University of the new ocation model ifically in relation	rd and per	forman By	ce ma	The proportion of staff with significant responsibility for undertaking research to increase to 60% (from 56%) by September	The proportion of staff with significant responsibility for research (SRIR) stood at 58% (including both academic and research-only staff) at September 2020. A separate equality impact assessment of staff on research-only contracts is being developed and will be reviewed as part of our revised Concordat Action Plan, with action taken where required.

undertaking research. NB the WAM applies to academic

The process for assigning time

allowances for staff to undertake research is

'teaching & research' and 'teaching-only' staff and not contract researchers). Our revised Concordat Action Plan includes a suite of actions to support

fixed-term researchers to develop responsibility for undertaking research.

		ı	1	1	
	undertaken annually (March-				
	May) to inform WAM				
	allocations for the following				
	academic year. Allocations				
	were assigned to 56% of				
	eligible academic staff in				
	2018/19. The data are further				
	examined in terms of the				
	equality impact assessment				
	(EIA) of introducing this				
	process and LJMU's Athena				
	SWAN Working Group				
	oversees and manages actions				
	arising from the EIA.				
	The University requires				
	consistent and transparent				
	application of the process and				
	each faculty will agree and				
	work to formal terms of				
	reference.				
3)	L&DF in conjunction with T&LA	L&DF	By Sep	A 10% increase in the	Staff Survey Outcomes:
	is preparing to roll-out an	T&LA	2020	proportion of academic	Data captured via our 2018 staff survey found that 61.4% of staff agreed
	Academic Leadership			and research staff	that "My training, learning or development has helped me to do my job
	Development Programme for			agreeing that their	more effectively". The survey has not been run since 2018.
	Programme/Subject Leaders.			training, learning or	, ,
	The CTG wishes to ensure			development has	ACTivator training outcomes:
	breadth in its scope and			helped them to do their	Data captured via an online survey following all core ACTivator workshops
	content to include the			job more effectively	found that staff (across all training, learning and development workshops)
	importance and significance of			(57% in 2017).	reported the following:
	the Programme/Subject Leader			(=:/0=0=//.	Was the session a good use of your time? 98.4% yes
	role in supporting research and			Increased researcher	 Did you benefit from the activities, facilitation and way time was used?
	research career development.			engagement with	96.9% yes
	research career development.			development activities,	·
				acvelopinent activities,	Would you recommend this session to others? 97.4% yes

programme is for academic staff only, contract researchers are intended beneficiaries as support for research career development cascades line management structures	ACTivator workshops with 20% of academic staff attending at least one workshop per academic year (currently 16%).	2018: 229 staff attended 2019: 334 staff attended 2020: 396 staff attended since 2018) Staff engagement with ACTivato Role Lecturer/Senior Lecturer PGR/PhD Student/ PhD Researcher Postdoctoral Researcher	t (46% increased (18% increased r workshops b	e since 2019 y role: 019 20	0; 73% increase
		Researcher/Research Assistant/Research Associate Professor	17 4 4 9		
		Reader Other e.g. Programme Leader,	7 1		
		Technician, Administrator	43 4	5 6	7
			229 3	34 39	96
		Staff engagement with ACTivato	r workshops b	1	2020 (n)
		Arts, Professional and Social Studie	-	79	90
		Engineering and Technology Nursing and Allied Health/ Educa Health and Community	69 ation,	70 66	61 72
		Business and Law	32	48	60
		Science	50	70	98
		We do not collect specific informathat engagement by staff who Postdoctoral Researchers, Researbeen inconsistent; thus we had Concordat Action Plan to address	are on research Assistants a eve included a	rch-related nd Research ections with	contracts (e.g. Associates) has

specifically core

Staff engagement with ACTivator workshops:

NB whilst this development

4) All five faculties to have developed (LBS, EHC) or updated (APSS, FET, SCI) their researcher induction handbook that is routinely supplied to all new starters. Handbooks highlight areas such as institutional and local research strategy, the individual's role within that strategy, support and career development opportunities including appraisal. Handbooks advocate for engagement with CROS and PIRLS and set-out the University's commitment to the Principles of the Concordat to Support the Career Development of Researchers. NB Handbooks are for all staff i.e. contract researchers and academic staff.

C. Support & Career Development

Principle 3: Researchers are equipped and supported to be adaptable and flexible in an increasingly diverse, mobile, global research environment Principle 4: The importance of researchers' personal and career development, and lifelong learning, is clearly recognised and promoted at all stages of their career

To guarantee institutional provision supporting researcher development across all career stages, in-line with the University's core strategic aims and P&OD Strategy 2017-2022.

5) Provision for PGRs: Deliver, evaluate and review the Researcher Development Programme run through the Doctoral Academy Engage PGRs with PRES in 2019 and develop an associated action plan	DA	PRES to run Spring 2019	Sustain PGR engagement with DA RDP at a level comparable with 2016/17. The 2016/17 data (92 events, 1,343 attendees) represented significant growth on 2015/16. Completion rate for PRES to match or exceed 2017 response (61%).	Our data show sustained engagement with DA RDP: • 2017/18: 85 events, 1229 attendees; • 2018/19: 85 events, 1225 attendees; • 2019-20: 95 events (46 of which were online), 1210 attendees. Our 2019 PRES results show an improving narrative in training and development since 2017. The results showed a significant increase in satisfaction with research skills and professional development since 2017. Confidence in both of these areas is in the highest quarter compared to the sector, and satisfaction with professional development was ranked 5th out of 103 institutions taking part in PRES.
 6) Provision for academic staff (including contract researchers, early to midcareer): Deliver, evaluate and review the ACTivator programme run through Research & Innovation Services Engage staff with CROS in 2019 and develop an associated action plan 	RIS CF	Review Jan 2019	Increased researcher engagement with development activities, specifically core ACTivator workshops with 20% of academic staff attending at least one workshop per academic year (currently 16%). Completion rate for CROS to meet the sector benchmark (24% in 2017).	As detailed in relation to progress with action 2.4 (above) LIMU did not implement CROS and PIRLS in 2019. As noted in Section 2.3, staff engagement with development activities, specifically core ACTivator workshops has increased year, on year. We do not collect specific information about contract type, but data suggest that engagement by staff who may be contract researchers (e.g. Postdoctoral Researchers, Research Assistants and Research Associates) has been inconsistent and have included actions within the revised Concordat Action Plan to address this (e.g. PCDI1). We have used our evaluation and gap analysis to develop an extensive action plan that is specifically aimed towards staff on externally-funded research contracts throughout; this includes those at the early and midstages of their career. Key actions within the revised Concordat Action Plan include activities to specifically encourage and support staff on externally-funded fixed-term contracts to engage with this provision and collect more robust data on engagement and outcomes of all staff development activities (e.g. PCDI1).

 7) Provision for future research leaders (including postdoctoral contract researchers) Deliver, evaluate and review leadership development provision for future research leaders Engage staff with PIRLs in 201 and develop an associated action plan 	Review Sep 2019 PIRLS to run Spring 2019	A minimum of one cohort annually to complete the 'Research Team Leadership programme'. Completion rate for PIRLS to meet the sector benchmark (21% in 2017).	course run by the Leadership Fourun three times, with the last sessi delegates attended each course. I	ogramme (a two-day externally facilitated undation for Higher Education) has been on being in November 2019. A total of 14 Plans are underway for LJMU to develop and started to be developed but were put attention as a result of COVID-19).
8) Continue to run the University's annual Research Innovation showcase event: Grow participation (internal, including contract researchers and external) Re-design the format and content to facilitate an increased emphasis on learning/development outcomes Involve the Concordat Forum in the design, planning and running of the event Establish robust measures to evaluate outcomes and satisfaction.	Review May 2019 and May 2020	Increase researcher participation up from 12% staff to 25%.	2019, with a total of 228 attended collected, but of the information their role as 'Researcher', 'Postdoo' Lecturer/Senior Lecturer PGR/PhD Student/ PhD Researcher Postdoctoral Researcher/Research Assistant/Research Associate Professor/Reader Other e.g. Programme Leader, Technician, Administrator In addition to the Research and Institute for Health Research have both had dedicated sessions their work with prizes, with approximate their work with prizes, with approximate their serious collections.	and Innovation Showcase event in June es. Information on contract type was not gathered, less than 10% had described ctoral Researcher' or Research Associate': 66

				researchers, and engage funded, fixed-term contract to er including presenting at cand Knowledge Exchange as an action to address o	racts, wil portunition ngage wit our LJMU e Day and	l be enco es for res th dissemi Research d internal	uraged. C searchers ination an Cafes, ou	our revised Concordat on externally funded d showcase activities, or University Research
research leade Implement a c application pro and 2019 desig	9) To grow the involvement of research leaders in mentoring. Implement a competitive application process in 2018 and 2019 designed to support	mentor-mentee	We have implemented at areas and have a large to currently in place:			-mentee	=	
enhancements output quality mentee conve receipt of a tin research in the allocation mod NB This schem open to acade staff only. Con are assigned a not their line r	mentees include s to research profiles, and rsion to being in the allowance for e workload del. e is currently mic members of tract researchers mentor (who is manager), as part duction process		The proportion of staff with significant responsibility for undertaking research to increase to 60% (from 56%) by September 2020. Increase academic staff participation in the Institute of Leadership and Management Coaching and Mentoring skills programme by 30% in 2018/19 (12 in 2017/18).	Academic New Starters Professional Services Supporting Women's Career Progression - Readership and Professorship Aurora REF TOTAL We do not currently colle participate in this mento Action Plan, have deve outcomes of our mentori As highlighted in Section responsibility for resear research-only staff) at assessment of staff on re be reviewed as part of our where required.	Sep-16 Jun-18 Oct-19 Dec-19 Jul-19 ect robusting proceloped progractivities on 2.2, to stood Septembersearch-octors	68 30 21 12 71 202 St data aboves and, a claims to or ties (e.g. Figure 2020) at 58% open 2020 only contra	19 12 87 209 out the type of collect interpretation of (including acts is being acts in the control of	our revised Concordat formation about the staff with significant to both academic and rate equality impact and developed and will

D. Rese	archers' Responsibility			
		nsibility for and need to	nro-actively engage in the	eir own personal and career development, and lifelong learning
5.	-	•	·	ne work of the Concordat Task Group and the Concordat Forum and promote
3.	their activities to researchers right acro	=	ty to raise awareness or th	to work of the concordat rask croup and the concordat rotal and promote
	_	CF By Sept	Annual update to two	LJMU did not implement CROS/PIRLS in 2019, but information from our
	update and information	2019	fora as minimum.	evaluation and gap analysis has shown that awareness of the Concordat is
	sessions for delivery within	1010		low. We have developed a suite of actions for LIMU as Institution (e.g. see
	the RDP, ACTivator		Researcher awareness	ECI1 within our revised Concordat Action Plan) to raise researcher
	programme, SRAs, SDF. Such		of the Concordat to	awareness, as well as a suite of actions specifically for Research Managers
	advocacy is essential to raise		Support the Career	and Researchers.
	awareness amongst PGR and		Development of	
	academic communities and		Researchers to exceed	
	ensure maximal engagement		the sector benchmarks	
	with relevant surveys (CROS,		as measured by CROS	
	PIRLS, PRES).		(15%).	
	NB This activity involves and			
	affects both academic staff			
	and contract researchers.			
	,	CF By Aug	SRA's established in	The description for the SRA's was developed and agreed between 2018/19
	Concordat Forum to create	2019	two faculties in	but this was not progressed further. Our revised Concordat Action Plan
	faculty Staff Research		2018/19.	includes a specific action (see actions related to objective PCDI6) to address
	Associations (SRA) into real			this and a commitment to develop a Staff Association specifically for staff
	and engaged entities, with		All SRA leaders engage	on externally-funded research contracts (our target cohort for the revised
	designated academic leaders		with leadership	action plan).
	who are supported by		development	
	leadership development		programmes (100%	
	professionals. The first SRA's		uptake).	
	will be created in the			
	2018/19 academic year (SCI			
	and EHC likely) and			
	researcher attendance at SRA			
	events will be tracked to set			
	baseline engagement figures.			

	NB This activity involves and affects both academic staff and contract researchers.											
•	lity & Diversity : Diversity and equality must be prom	oted in all a	snects of the	recruitment and career ma	nagemen	t of resea	rchars					
6.	LIMU aims to be an exemplar of go		•					egy are u	nderninne	d by Fau	ality. Diversity	, and
	Inclusivity to create a University wh							-6, a.c a		.u .u, _u	ancy, Directory	۵۵
	12) Pursue accreditation for Athena SWAN for all	EDI PVC-R	By Apr 2020	Submission by all eight of LJMU's STEMM areas	3 STEM	M depart	ments su		-		Exercise Scien uilt Environme	
	University schools/departments, with all			and at least three non- STEMM		-		-	-	-	o submit 202: cience, Pharma	
	STEMM departments to			Schools/departments	Biomole	ecular Sci	ences); 2	STEMM	departme	ntal subn	nission dates to	to be
	submit for an award by April 2020. NB This action is owned by LJMU's Athena			by April 2020	STEMM	departme	ents prep	aring to s		1 (Liverpo	Psychology); 3 ool Business Sch	
	SWAN Working Group but with direct input from P&OD,							•		·	n with wider L	UMU
	L&DF and RIS.				strategi	c initiative	es relevar	nt to this p	orinciple, in	ncluding v	vorking towards	ls our
	NB This activity involves and									-	nt, implementa	
	affects both academic staff					_	=	-			based recruitr	ment
	and contract researchers.				process	es (e.g. as	s part of c	our actions	s to addres	ss obligati	on ECI2).	
	13) Continue to analyse equal opportunities data considered by POD and the Professors and Readers	EDI	Review Jul 2019	Take remedial action if the proportion of eligible candidates applying for	applying	g, being sl	hortlisted	l and succ		Readershi	number of wo p and Professor	
	Conferment Panel (most			Professorial promotion	Professo	ors						
	recently July 2017). The			falls below 6% (males								
	success measure in our 2016-			and females).		Total Male	Total Woman	Shortlisted	Shortlisted	Successful	Successful	
	18 Action Plan was to take					Applicants	Applicants	Males	Women	Males	Women	
	remedial action if the			Take remedial action if	2018	5	3	3	2	3	2	
	proportion of applications for			the proportion of	2019	7 15	2 5	8	0 5	8	0 5	
	Professor and Reader			eligible candidates	2020	15	၁	<u> 0</u>	อ	Įσ	0	
	conferment from women			applying for Readership								

	dropped below 33% (of the total number of applicants). However, we now monitor the data in terms of the proportion of applicants from the respective eligible pool of staff.		promotion falls below 3% for female staff and 6% for males.	contract for conf routes w	27 26 30 of our rev type, in our reverse the contract of the c	s Applicants 9 13 21 ised actio order to i nd are su arch Man	Males 16 16 16 on plan, we dentify ho ccessful. Name	Shortlisted Women 6 5 11 e will captu ow many fi We will als fixed-term art of our	xed-term o explore n research	women 4 5 10 c informa research awarene s staff as t	staff app ss of the his has n
the UK Th	ne University signals its commitmerveys (PRES, CROS, PIRLS) 4) Provide regular updates to the URSKTC and the Strategy Delivery Forum on the progress of staff-related matters including all researcher development activities and action plans. Content is to include longitudinal and sector comparisons of survey			A review Universi Research governa approach Where supporti	v of Cond ty Resea her Deve nce and h. previousled by a Co	cordat processor cordat	ocesses, to maittee a concord arrange cordat Tass Forum to e Concord		on of rela publication esulted in our Inst provided perational g Group (pate in so ated upda on of the n a chan itutional strategic support, CSG). The	ector-wide etes to the erevise ge in the Concord influence from 202
	results, collating perspectives from all researchers (PGR, contract research staff,			external directly	ly funded to our Un	d researc niversity F	h staff on Research a	fixed-terr	n contrac	ts and w	ho repor

Report to be presented to URSKTC.				CSG membership reflects representation across our target cohort and related stakeholders, whilst comprising many of those involved in our previous Concordat activities; thus ensuring consistency and continuity of processes as we transition into the new 2020 CAP. The researchers on the CSG represent Departments with the highest numbers of fixed-term externally funded staff. We have a suite of actions integrated into our revised Concordat Action Plan to ensure that all relevant staff have opportunity to input and influence staff-related matters.
15) Conduct an annual review of ACTivator and Researcher Development support. Align and grow support activities to reflect researcher needs (contract researchers and academic staff).	DA RIS	By Oct 2018 (DA) By Jan 2019 (RIS)	Increased researcher engagement with development activities, specifically core ACTivator workshops with 20% of academic staff attending at least one workshop per academic year (currently 16%). Sustain PGR engagement with DA RDP at a level comparable with 2016/17. The 2016/17 data (92 events, 1,343 attendees) represented significant growth on 2015/16.	As noted in Section 2.3, staff engagement with development activities, specifically core ACTivator workshops has increased year, on year. We do not collect specific information about contract type, but data suggest that engagement by staff who may be contract researchers (e.g. Postdoctoral Researchers, Research Assistants and Research Associates) has been inconsistent. Our data show sustained engagement with DA RDP: • 2017/18: 85 events, 1229 attendees; • 2018/19: 85 events, 1225 attendees; • 2019-20: 95 events (46 of which were online), 1210 attendees. Our 2019 PRES results show an improving narrative in training and development since 2017. The results showed a significant increase in satisfaction with research skills and professional development since 2017. Confidence in both of these areas is in the highest quarter compared to the sector, and satisfaction with professional development was ranked 5th out of 103 institutions taking part in PRES.

Glossary

ACTivator Attitude & Capability Toolkit: impact, value & assets of researchers

AD-Rs Associate Dean for Research

APSS Faculty of Arts, Professional and Social Studies

CF Concordat Forum

CROS Careers in Research Online Survey

CTG Concordat Task Group
DA Doctoral Academy

EDI Equality, Diversity & Inclusion Team

EHC Faculty of Education, Health & Community

FET Faculty of Engineering & Technology

FRSKTC Faculty Research Scholarship & Knowledge Transfer Committee

ILM Institute of Leadership and Management L&DF Leadership & Development Foundation

LBS Liverpool Business School

LS Library Services

PDPR Personal Development and Performance Review

P&OD People and Organisational Development

PGRs Postgraduate Researchers

PIRLS Principal Investigators & Researcher Leaders Survey

PRES Postgraduate Research Experience Survey

PVC-R Pro-Vice Chancellor for Research, Scholarship & Knowledge Transfer

RDP Researcher Development Programme (Doctoral Academy)

RIS Research and Innovation Services

SCI Faculty of Science

SRA Staff Research Associations

URSKTC University Research, Scholarship & Knowledge Transfer Committee